

**ANNUAL STATEMENT OF FINANCIAL DISCLOSURE FOR THE
CITY OF BUFFALO, NEW YORK**

City Position(s)

Board(s) and/or Committee(s)

Paid Unpaid

If the answer to any of the following questions is “none”, please indicate. “Close Relative” means your spouse, child, step-child, brother, sister, parent, or a person you claimed as a dependent on your latest state or federal income tax return.

1. **Name and Address**

Last Name

First Name

Middle Initial

Title

Department or Agency

Department or Agency Address

Telephone No.

Address or Principal Residence

Telephone No.

E-Mail

2. **Spouse and Children**

Please provide the name of your spouse (if married) and the names of any dependent children.

Spouse

Child/Age

Child/Age

Child/Age

Name:

3. Interest in Contracts.

Describe any interest of you or a close relative in any contract involving the City or any municipal corporation located within the City of Buffalo.

Name of Family Member	Contract	Description

4. Political Parties.

List any position you held within the last five (5) years as an officer of any political committee or political organization. The term "political organization" includes any independent body or any organization that is affiliated with or a subsidiary of a political party.

5. Gifts and Honorariums.

List the source of all gifts received in the past year from the same or affiliated source aggregating in excess of \$100.00 received during the last year by you or a close relative, from any person or organization having had a regulatory or financial relationship with the City within the past two years or expected to have such a relationship within the next two years, excluding gifts from a relative. The term "gifts" includes gifts of cash, property, personal items, services, payments to third parties on your behalf, loans, forgiveness of debt, honorariums, travel, entertainment, hospitality, tickets and any financial transaction on terms not available to the general public. The term "gifts" shall not include normal hospitality or promotional materials received within the past year if such hospitality or materials which, when aggregated by source, do not exceed \$100.00 in value and are not received in circumstances in which it might reasonably be inferred that they were given with the intention to influence or reward you in relation to the performance of your duties.

Name:

6. Real Estate.

List the address of each piece of real property you or a close relative own or have a financial interest in (including an interest through a family trust or business organization). List only real estate that is in the City of Buffalo or within five (5) miles of the boundary of the City of Buffalo.

Name of Family Member	Relationship to You	Address of Real Estate	Type of Investment
<i>[E.g.: John Jones</i>	<i>Father</i>	<i>1 Main Street, Buffalo</i>	<i>Owns]</i>

7. Your Employment or Business

List the name of any employer or business from which you receive compensation for services rendered or goods sold or produced or of which you are a member, officer, or employee. It is not necessary to disclose the name(s) of your employer's or business's clients and/or customers.

Name of Employer or Business	Nature of Business	Type of Business	Your Relationship
<i>E.g.: Monument Realty</i>	<i>Real Estate Agency</i>	<i>Partnership</i>	<i>Employee</i>

8. Your Spouse's Employment or Business

List the information in question 7. for your spouse

Name of Employer or Business	Nature of Business	Type of Business	Spouse's Relationship
<i>E.g.: Pottery Ltd.</i>	<i>Pottery Manufacturer</i>	<i>Corporation</i>	<i>Treasurer</i>

Name:

9. Investments

List your interest or any close relative in an entity which has had financial or regulatory activity with either the City or City Development Agency within the last two (2) years or is expected to have such activity within the next two (2) years, except for a publicly traded business organization of which you own less than 5% of the outstanding stock or ownership interest. It is not necessary to disclose personal savings accounts and retirement accounts.

Name of Family Member	Relationship to You	Name of Business Entity	Involvement with City
<i>E.g.: John Jones</i>	<i>Father</i>	<i>Acme Corp.</i>	<i>Supply Contractor</i>

Signature

Date

After completion, remove the original of this statement (or, if applicable, the Transaction Disclosure Statement) from the disclosure booklet, make and retain a copy for your records, and place the original and receipt form in the envelope provided marked "Confidential," and return to the City Clerk on or before the due date. If mailed or electronically submitted, an acknowledgement of receipt will be sent to you for your records. If you hand deliver the statement, request a date and time stamped receipt. Please keep a copy of your completed statement and receipt for your records.

Name:

FOR CITY EMPLOYEES ONLY

In addition to the Annual Statement of Financial Disclosure provided above, the Annual Statement of Financial Disclosure for City officers or employees described in §12-3 of the Code of Ethics shall contain the additional information and shall be in the form set forth below.

10. Other Financial Interests.

A. Outside Employment. Describe any outside occupation, employment, trade, business or profession providing more than \$1,000/year for you or a close relative, if any, and indicate whether such activities are regulated by any state or local agency.

Name of Family Member	Position	Name, Address and Description of Organization	State or Local Agency
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B. Future Employment. Describe any contract, promise or other agreement between you and anyone else with respect to your employment after leaving your City office or position.

C. Past Employment. Identify the source and nature of any income in excess of \$1,000 per year from any prior employer, including deferred income, contributions to a pension or retirement fund, profit sharing plan, severance pay or payments under a buyout agreement.

Name and Address of Income Source	Description (i.e., pension, deferred, etc.)
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Name:

FOR CITY EMPLOYEES ONLY

11. Third-Party Reimbursements

Identify and describe the source of any third-party payment or reimbursement for City of Buffalo travel-related expenditures in excess of \$250.00 for any matter that relates to your official duties. The term "reimbursement" includes any travel-related expenses provided by anyone other than the City for speaking engagements, conferences or fact-finding events that relate to your official duties.

Source	Description

12. Loans and Debts

Describe all loans and debts of you or a close relative in excess of \$5,000 (excluding retail accommodations such as primary mortgages, home equity loans, charge accounts, lines of credit, and credit cards extended in the normal course of business which are ordinarily available to the general public by financial institutions (for example, banks) and/or merchants and which are not extended in circumstances in which it might be reasonably inferred that they were extended with the intention to influence or reward you in relation to the performance of your duties):

Name of Family Member	Name and Address of Creditor

Signature

Date

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RECEIPT

Date/time:

Received by:

_____ Mail

_____ Electronic Delivery to City Clerk

_____ Hand Delivery to City Clerk

RECEIPT

To Employee:

Date/time:

Received by: _____

_____ Mail

_____ Electronic Delivery to City Clerk

_____ Hand Delivery to City Clerk